

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name;

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

A WORKFLOW MANAGEMENT SYSTEM FOR GENERATING OUTPUT MATERIAL BASED ON CUSTOMER INPUT

the specification of which (check one)

☒ is attached hereto.

☐ was filed on _____

as Application Serial No. _____

and was amended on _____ (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, Section 1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, Section 119(a)-(d) or Section 365(b) of any foreign application(s) for patent or inventor's certificate, or Section 365(a) of any PCT International application which designated at least one country other than the United States, listed below and have also identified below any foreign application for patent or inventor's certificate or PCT International application having a filing date before that of the application on which priority is claimed:

Prior Foreign Application(s)

Priority Claimed

<u>None</u>	<u>Yes</u>	<u>No</u>
(Number)	(Country)	(Day/Month/Year Filed)

I hereby claim the benefit under Title 35, United States Code, Section 120 of any United States application(s) or Section 365(c) of any PCT International application designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of Title 35, United States Code, Section 112, I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, Section 1.56, which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

<u>None</u>		
(Application Serial No.)	(Filing Date)	(Status) (patented, pending, abandoned)

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

POWER OF ATTORNEY: Joseph F. Villella, Jr., Reg. No. 30,599; David W. Victor, Reg. No. 39,867; William K. Konrad, Reg. No. 28,868; Gary D. Mann, Reg. No. 34,867; Alan S. Raynes, Reg. No. 39,809; Esther E. Klein, Reg. No. 34,337; Philip R. Wadsworth, Reg. No. 29,219; Robert B. Martin, Reg. No. 26,945; Paik Saber, Reg. No. 37,494; Leslie G. Murray, Reg. No. 31,183; Christopher A. Hughes, Reg. No. 26,914; Joseph C. Redmond, Jr., Reg. No. 18,753; Douglas R. Millett, Reg. No. 31,784; John E. Hoel, Reg. No. 26,279; Edward A. Pennington, Reg. No. 32,588; Noreen A. Krall, Reg. No. 39,734; Robert M. Sullivan, Reg. No. 39,391; G. Marlin Knight, Reg. No. 33,409; Randall J. Bluestone, Reg. No. 40,518.

Send correspondence to:

David Victor, Esq
1180 South Beverly Dr., Ste. 501
Los Angeles, CA 90035

Direct all telephone calls to David Victor at (310) 553-7977

FULL NAME OF INVENTOR ONE: Jagdish Moonjee Nagda	
INVENTORS SIGNATURE:	DATE:
RESIDENCE: 701 Kalmia Avenue, Boulder, Colorado 80304	
CITIZENSHIP: United States	
POST OFFICE ADDRESS: <u>same as residence</u>	

FULL NAME OF INVENTOR TWO: Robert Curt Nielsen	
INVENTORS SIGNATURE:	DATE:
RESIDENCE: 3548 Columbia Dr., Longmont, Colorado 80503	
CITIZENSHIP: USA	
POST OFFICE ADDRESS: <u>same as residence</u>	

FULL NAME OF INVENTOR THREE: Gerri L. Peper	
INVENTORS SIGNATURE:	DATE:
RESIDENCE: Boulder, Colorado	
CITIZENSHIP: United States	
POST OFFICE ADDRESS: <u>same as residence</u>	

12-06-1999 RCVD



UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
ASSISTANT SECRETARY AND COMMISSIONER
OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

FILING RECEIPT
CORRECTED

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTORNEY DOCKET NO.	DRWGS	TOT CL	IND CL
09/388,261	08/31/99	2761	\$1,106.00	B09-99-027	7	32	3

DAVID VICTOR ESQ
1180 SOUTH BEVERLY DRIVE STE 501
LOS ANGELES CA 90035

36.42

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts of Application" ("Missing Parts Notice") in this application, please submit any corrections to this Filing Receipt with your reply to the "Missing Parts Notice." When the PTO processes the reply to the "Missing Parts Notice," the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s) JAGDISH MOOLJEE NAGDA, BOULDER, CO; ROBERT CURT NIELSEN,
LONGMONT, CO; GERRI LYNN PEPER, BOULDER, CO.

IF REQUIRED, FOREIGN FILING LICENSE GRANTED 09/17/99

TITLE

WORKFLOW MANAGEMENT SYSTEM FOR GENERATING OUTPUT MATERIAL BASED ON
CUSTOMER INPUT

PRELIMINARY CLASS: 705

DATA ENTRY BY: BALL, ROSALIND

TEAM: 01 DATE: 11/12/99

(See reverse for new important information)

ASSIGNMENT

Whereas, we

INVENTOR
AND CITY

(1) Jagdish Mooljee Nagda
County of Boulder
(2) Robert Curt Nielsen
County of Boulder
(3) Gerri Lynn Peper
County of Boulder

of Boulder
and State of Colorado
of Longmont
and State of Colorado
of Hygiene
and State of Colorado

have invented certain improvement in

TITLE

A WORKFLOW MANAGEMENT SYSTEM FOR GENERATING OUTPUT MATERIAL BASED ON
CUSTOMER INPUT

U.S. Serial No.
Filing Date

09/388,261
August 31, 1999

DATES THAT
INVENTORS
SIGNED THE
DECLARATION

and executed, respectively, a United States patent application therefore on
(1) 10-20-, 1999, (2) 10-20-, 1999, and (3) 10-21-, 1999

Whereas, INTERNATIONAL BUSINESS MACHINES CORPORATION, a corporation of New York, having a place of business at Armonk, New York 10504, (hereinafter called IBM), desires to acquire the entire right, title and interest in the said application and invention, and to any United States and foreign patents to be obtained therefore;

Now therefore, for a valuable consideration, receipt whereof is hereby acknowledged, I, the above named, hereby sell, assign, and transfer to IBM, its successors and assigns, the entire right, title and interest in the said application and invention therein disclosed for the United States and foreign countries, and all rights of priority resulting from the filing of said United States application, and I request the Commissioner of Patents to issue any Letters Patent granted upon the invention set forth in said application to IBM, its successors and assigns; and I hereby agree that IBM may apply for foreign Letters Patent on said invention and I will execute all papers necessary in connection with the United States and foreign applications when called upon to do so by IBM.

Signed and sealed

CITY
DATE

(1) at Boulder
on Oct. 20, 1999,

Jm Nagda
Jagdish Mooljee Nagda SIGNATURE
INVENTOR

CITY
DATE

(2) at Boulder
on Oct. 20, 1999,

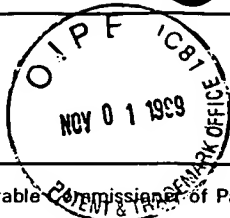
Robert C. Nielsen
Robert Curt Nielsen SIGNATURE
INVENTOR

CITY
DATE

(3) at Boulder
on Oct 21, 1999,

Gerri L. Peper
Gerri Lynn. Peper SIGNATURE
INVENTOR

Form PTO-1595
1-3-92



RECO



101193571

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Jagdish Mooljee Nagda, Robert Curt Nielsen, and Gerri L. Peper

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other _____

Execution Date October 21, 1999

2. Name and address of receiving party(ies):

International Business Machines Corporation
Armonk, New York 10504

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s):
09/388,261

B. Patent No.(s):

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

DAVID W. VICTOR, ESQ.
KONRAD RAYNES & VICTOR LLP
1180 S. Beverly Drive, Suite 501
Los Angeles, CA 90035

6. Total number of applications and patents involved: [1]

7. Total fee (37 CFR 3.41).....\$ 40

☒ Enclosed

☐ Authorized to be charged to deposit account

8. Deposit account number: 50-0585

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing is true and correct and any attached copy is a true copy of the original document.

David W. Victor
Name of Person Signing

Signature

October 28, 1999
Date

Total number of pages including cover sheet, attachments and document: [2]

OMB No. 0651-0011 (exp. 4/94)

Do not detach this portion

Mail documents to be recorded with required cover sheet information to:

Commissioner of Patents and Trademarks
Box Assignments
Washington, D.C. 20231

Public burden reporting for this sample cover sheet is estimated to average about 30 minutes per document to be recorded, including time for reviewing the document and gathering the data needed, and completing and reviewing the sample cover sheet. Send comments regarding this burden estimate to the U.S. Patent and Trademark Office, Office of Information Systems, PK2-1000C, Washington, D.C. 20231, and to the Office of Management and Budget, Paperwork Reduction Project, (0651-0011), Washington, D.C. 20503.

11/03/1999 MBERHE 00000055 09388261

FC:581

40.00 DP

ASSIGNMENT

Whereas, we

INVENTOR
AND CITY

(1) Jagdish Mooljee Nagda
County of Boulder

of Boulder
and State of Colorado

(2) Robert Curt Nielsen
County of Boulder

of Longmont
and State of Colorado

(3) Gerri Lynn Peper
County of Boulder

of Hygiene
and State of Colorado

have invented certain improvement in

TITLE

A WORKFLOW MANAGEMENT SYSTEM FOR GENERATING OUTPUT MATERIAL BASED ON
CUSTOMER INPUT

U.S. Serial No.

09/388,261

Filing Date

August 31, 1999

DATES THAT
INVENTORS
SIGNED THE
DECLARATION

and executed, respectively, a United States patent application therefore on

(1) 10-20-, 1999, (2) 10-20-, 1999, and (3) 10-21-, 1999

Whereas, INTERNATIONAL BUSINESS MACHINES CORPORATION, a corporation of New York, having a place of business at Armonk, New York 10504, (hereinafter called IBM), desires to acquire the entire right, title and interest in the said application and invention, and to any United States and foreign patents to be obtained therefore;

Now therefore, for a valuable consideration, receipt whereof is hereby acknowledged, I, the above named, hereby sell, assign, and transfer to IBM, its successors and assigns, the entire right, title and interest in the said application and invention therein disclosed for the United States and foreign countries, and all rights of priority resulting from the filing of said United States application, and I request the Commissioner of Patents to issue any Letters Patent granted upon the invention set forth in said application to IBM, its successors and assigns; and I hereby agree that IBM may apply for foreign Letters Patent on said invention and I will execute all papers necessary in connection with the United States and foreign applications when called upon to do so by IBM.

Signed and sealed

CITY
DATE

(1) at Boulder
on Oct. 20, 1999,

Jm Nagda
Jagdish Mooljee Nagda SIGNATURE
INVENTOR

CITY
DATE

(2) at Boulder
on Oct. 20, 1999,

Robert C. Nielsen
Robert Curt Nielsen SIGNATURE
INVENTOR

CITY
DATE

(3) at Boulder
on Oct 21, 1999,

Gerri L. Peper
Gerri Lynn. Peper SIGNATURE
INVENTOR



02-11-2000 RCVD

36.42

**UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office**

ASSISTANT SECRETARY AND COMMISSIONER
OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

deleted

FEBRUARY 04, 2000

PTAS

KONRAD RAYNES & VICTOR LLP
DAVID W. VICTOR, ESQ.
1180 S. BEVERLY DRIVE, SUITE 501
LOS ANGELES, CA 90035



101193571A

**UNITED STATES PATENT AND TRADEMARK OFFICE
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT**

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 11/01/1999

REEL/FRAME: 010352/0873
NUMBER OF PAGES: 2

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

NAGDA, JAGDISH MOOLJEE

DOC DATE: 10/20/1999

ASSIGNOR:

NIELSEN, ROBERT CURT

DOC DATE: 10/20/1999

ASSIGNOR:

PEPER, GERRI L.

DOC DATE: 10/21/1999

ASSIGNEE:

INTERNATIONAL BUSINESS MACHINES
CORPORATION
ARMONK, NEW YORK 10504

SERIAL NUMBER: 09388261
PATENT NUMBER:

FILING DATE: 08/31/1999
ISSUE DATE:

010352/0873 PAGE 2

MAYA BENNETT, EXAMINER
ASSIGNMENT DIVISION
OFFICE OF PUBLIC RECORDS

1-3-92

RECORDATION FORM COVER LETTER

PATENTS ONLY

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Jagdish Mooljee Nagda, Robert Curt Nielsen, and Gerri L. Peper

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

2. Name and address of receiving party(ies):

International Business Machines Corporation
Armonk, New York 10504

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other _____

Additional name(s) & address(es) attached? ☐ Yes ☒ No

Execution Date October 21, 1999

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s):
09/388,261

B. Patent No.(s):

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

DAVID W. VICTOR, ESQ.
KONRAD RAYNES & VICTOR LLP
1180 S. Beverly Drive, Suite 501
Los Angeles, CA 90035

6. Total number of applications and patents involved: [1]

7. Total fee (37 CFR 3.41).....\$ 40

☒ Enclosed☐ Authorized to be charged to deposit account

8. Deposit account number: 50-0585

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

*To the best of my knowledge and belief, the foregoing is true and correct and any attached copy is a true copy of the original document.*David W. Victor
Name of Person Signing

Signature

October 28, 1999
Date

Total number of pages including cover sheet, attachments and document: [2]

OMB No. 0651-0011 (exp. 4/94)

Do not detach this portion

Mail documents to be recorded with required cover sheet information to:

Commissioner of Patents and Trademarks**Box Assignments****Washington, D.C. 20231**

Public burden reporting for this sample cover sheet is estimated to average about 30 minutes per document to be recorded, including time for reviewing the document and gathering the data needed, and completing and reviewing the sample cover sheet. Send comments regarding this burden estimate to the U.S. Patent and Trademark Office, Office of Information Systems, PK2-1000C, Washington, D.C. 20231, and to the Office of Management and Budget, Paperwork Reduction Project, (0651-0011), Washington, D.C. 20503.